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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
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Morrison & Fo		ZHEN	ZHEN, LI B		
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			DATE MAILED: 09/22/2006		

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	09/762,472	EDER ET AL.				
Office Action Summary	Examiner	Art Unit				
	Li B. Zhen	2194				
The MAILING DATE of this communication app	ears on the cover sheet with the c	orrespondence address				
Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1)⊠ Responsive to communication(s) filed on <u>05 Ju</u>	dv 2006					
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closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
olocca in accordance min inc practice and a	,, parte Quayro, 1000 0.21 11, 10					
Disposition of Claims						
4) Claim(s) 1-11 is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-11</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or	r election requirement.					
Application Papers						
9) The specification is objected to by the Examine	r.					
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.						
Applicant may not request that any objection to the						
Replacement drawing sheet(s) including the correct	ion is required if the drawing(s) is ob	jected to. See 37 CFR 1.121(d).				
11) The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.				
Priority under 35 U.S.C. § 119						
12)⊠ Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	)-(d) or (f).				
a)⊠ All b)□ Some * c)□ None of:						
1.⊠ Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau	ı (PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list	of the certified copies not receive	ed.				
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Attachment(s)  1) Notice of References Cited (PTO-892)  4) HERVISORY PATENT EXAMINER  4) HERVISORY PATENT EXAMINER						
1) Notice of References Cited (PTO-892)	4) Thierview Summary	(PTO-413)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da 5) Notice of Informal P	ite				
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	6) Other:					

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### **DETAILED ACTION**

1. Claims 1 – 11 are pending in the application.

#### Response to Arguments

2. Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

### Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

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- 5. Claims 1 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Statutory Invention Registration No. H1,898 to Doughty et al. [hereinafter Doughty, cited in the previous office action] in view of U.S. Patent NO. 6,151,390 to Volftsun et al. [hereinafter Volftsun].
- 6. As to claim 1, Doughty teaches the invention substantially as claimed including a method for operating a terminal unit [terminals 54; col. 7, lines 7 17] in an exchange [telecommunications switch 12; col. 7, lines 7 17] comprising:

performing signaling for a first subscriber [call processor 49 provides other elements that take part in processing calls directed to, or initiated by, the subscriber units 22; col. 6, lines 27 – 38] during execution of a first application program [signal processing modules 48; col. 4, lines 57 – 67] by a processor [processor; col. 4, lines 39 – 49] included in the terminal unit wherein

call processing between the first subscriber and a second subscriber is carried out during execution of a second application program [call processor 49 includes a call processing application that provides various call processing and signaling functions, such as call origination and termination functions, as well as location updating and handover of mobile subscribers; col. 6, lines 27 – 39 and col. 8, lines 50 – 61],

transferring signaling data, generated during signaling, at a message interface are transferred to the second application program [Each signaling interface module may controllably receive signaling data from and transfer signaling data to the transmission link 26; col. 11, lines 15 – 29] by using an operating system [switching module 42 runs a

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suitable operating system such as pSOS+; col. 4, lines 38 - 49] for controlling the flow of the application programs [col. 8, lines 37 - 49], and

transferring call data, generated during call processing, at the message interface to the first application program by using the operating system [the call processor system 49 configures the switching module 42, the telephony support module 44, the interface modules 46, and the signal processing modules 48 to process the call data; col. 7, lines 35 - 45].

Although Doughty teaches the invention substantially, Doughty does not specifically teach the exchange between the first and second application programs occurs by means of a connection program, such that one of the application programs cooperates with the other application program to provide various protocols of one or both of the application programs.

However, Volftsun teaches exchanges [Private Branch Exchange; col. 5, line 45 – col. 6, line 29] with network terminals [Network Nodes; col. 7, lines 20 – 32], the exchange between the first and second application programs occurs by means of a connection program [UPC 100 is comprised of four major software architectural elements—a Protocol Conversion Engine 300; col. 7, lines 20 – 32], such that one of the application programs [Protocol Conversion Engine 300 and the individual I/O Channel Controllers 312 that provide communication with the interconnected Network Nodes, Customer Premises Equipment, and/or External Applications; col. 7, line 56 – col. 8, line 11] cooperates with the other application program to provide various protocols [Protocol Adapters 510, 512, 514, 516 and 518, each of which supports a unique protocol or

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protocol family; col. 10, lines 1 - 29] of one or both of the application programs [col. 8, lines 11 - 48].

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Volftsun and Doughty because Volftsun's teachings allow translation between heterogeneous signaling systems to provide fast, accurate connections in a reliable telephony service [col. 5, line 45 – 52 of Volftsun] and accommodates interworking of all network protocols and provides a set of flexible user tools to remotely define, map, alter and logically convert between any combination of multiple protocol stacks [col. 56, lines 10 – 25 of Volftsun].

7. As to claim 2, Doughty as modified by Volftsun teaches a method for operating terminal unit [terminals 54; col. 7, lines 7 – 17 of Doughty] in an exchange [telecommunications switch 12; col. 7, lines 7 – 17 of Doughty] comprising:

performing signaling with aid of a further exchange by a processor [processor; col. 4, lines 39 – 49 of Doughty] included in the terminal unit during execution of a first application program [signal processing modules 48; col. 4, lines 57 – 67 of Doughty],

call processing between the two exchanges during execution of a second application program [call processor 49 includes a call processing application that provides various call processing and signaling functions, such as call origination and termination functions, as well as location updating and handover of mobile subscribers; col. 6, lines 27 – 39 and col. 8, lines 50 – 61 of Doughty],

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transferring signaling data, generated during signaling, at a message interface to the second application program [Each signaling interface module may controllably receive signaling data from and transfer signaling data to the transmission link 26; col. 11, lines 15 – 29 of Doughty] by using an operating system [switching module 42 runs a suitable operating system such as pSOS+; col. 4, lines 38 – 49 of Doughty] for controlling the flow of the application programs [col. 8, lines 37 – 49 of Doughty],

transferring call data, generated during call processing at the message interface to the first application program using operating system [the call processor system 49 configures the switching module 42, the telephony support module 44, the interface modules 46, and the signal processing modules 48 to process the call data; col. 7, lines 35 – 45 of Doughty], and

the exchange between the first and second application programs occurs by means of a connection program [UPC 100 is comprised of four major software architectural elements—a Protocol Conversion Engine 300; col. 7, lines 20 – 32 of Volftsun], such that one of the application programs [Protocol Conversion Engine 300 and the individual I/O Channel Controllers 312 that provide communication with the interconnected Network Nodes, Customer Premises Equipment, and/or External Applications; col. 7, line 56 – col. 8, line 11 of Volftsun] cooperates with the other application program to provide various protocols [Protocol Adapters 510, 512, 514, 516 and 518, each of which supports a unique protocol or protocol family; col. 10, lines 1 – 29 of Volftsun] of one or both of the application programs [col. 8, lines 11 – 48 of Volftsun].

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8. As to claim 3, Doughty teaches the generated signaling data or the call data contain messages with a prescribed structure [col. 9, lines 7 – 25].

- 9. As to claim 4, Doughty teaches the messages contain receiver identifier, or an address reference on a data block with data to be transmitted, or a message identifier for distinguishing the different messages, or a message type identifier for identifying the type of message, or data on the application program generating the message [col. 9, lines 7-25].
- 10. As to claim 5, Doughty teaches the signaling data and/or the call data contain a data block, and wherein, in addition to data to be transmitted, the data block preferably contains further data with the aid of which the data block can be assigned to one more application programs [transmit appropriate signaling and control data; col. 4, lines 21 34].
- 11. As to claim 6, Doughty teaches two first application programs are used for signaling with the aid of different protocols [col. 9, lines 24 35], and wherein the first application programs exchange at least one of signaling data and call data with second application programs via common or a plurality of interfaces, and wherein the same command sequence is executed during processing of the second application programs [signaling interface modules 52; col. 6, line 63 col. 7, line 8].

[signaling interface modules 52; col. 6, line 63 – col. 7, line 8].

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13. As to claim 8, Doughty as modified by Volftsun teaches a terminal [terminals 54; col. 7, lines 7 – 17 of Doughty] for an exchange [telecommunications switch 12; col. 7, lines 7 – 17 of Doughty], comprising:

at least one subscriber line for connecting a first subscriber [col. 3, lines 46 – 64 of Doughty];

at least one further connection for setting up a transmission channel to a second subscriber [col. 8, lines 3 – 11 of Doughty];

application programs for executing switching operations, to which signaling at the subscriber line and method steps for call processing belong [switching module 42; col. 4, lines 38 – 49 of Doughty], wherein signaling data generated during signaling is used when processing a call, or call data generated during call processing is used when signaling [call processor 49 includes a call processing application that provides various call processing and signaling functions, such as call origination and termination

functions, as well as location updating and handover of mobile subscribers; col. 6, lines 27 – 39 and col. 8, lines 50 – 61 of Doughty]; and

an operating system controlling the flow of the application programs [switching module 42 runs a suitable operating system such as pSOS+; col. 4, lines 38 - 49 of Doughty], wherein at least one of the signaling data and the call data are transferred to at least one message interface using the operating system [Under control of the operating system, the protocol converter 100 executes originating and terminating state machines that are constructed based upon definitions prepared in a protocol definition language or Message Definition Language; col. 34, lines 30 – 49 of Volftsun].

14. As to claim 9, Doughty as modified by Volftsun teaches the terminal unit [terminals 54; col. 7, lines 7 – 17 of Doughty] for an exchange [telecommunications switch 12; col. 7, lines 7 – 17 of Doughty], comprising:

at least one connection for connecting a further exchange [col. 35, lines 28 – 40] of Volftsun];

application programs for executing switching operations [switching module 42; col. 4, lines 38 – 49 of Doughty], to which signaling at the connection and method steps for call processing belong, wherein signaling data generated during signaling is used when processing a call, or call data generated during call processing is used when signaling [call processor 49 includes a call processing application that provides various call processing and signaling functions, such as call origination and termination

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functions, as well as location updating and handover of mobile subscribers; col. 6, lines 27 – 39 and col. 8, lines 50 – 61 of Doughty]; and

an operating system controlling the flow of the application programs [switching module 42 runs a suitable operating system such as pSOS+; col. 4, lines 38 – 49 of Doughty], wherein at least one of the signaling data the call data are transferred to at least one message interface using the operating system [Under control of the operating system, the protocol converter 100 executes originating and terminating state machines that are constructed based upon definitions prepared in a protocol definition language or Message Definition Language; col. 34, lines 30 – 49 of Volftsun].

- 15. As to claim 10, Doughty teaches signaling is executed by a first application program [signal processing modules 48; col. 4, lines 57 67], and wherein call processing is executed by a second application program [call processor 49 includes a call processing application that provides various call processing and signaling functions, such as call origination and termination functions, as well as location updating and handover of mobile subscribers; col. 6, lines 27 39 and col. 8, lines 50 61].
- 16. As to claim 11, Doughty as modified by Volftsun teaches an exchange [telecommunications switch 12; col. 7, lines 7 17 of Doughty] comprising a terminal unit [terminals 54; col. 7, lines 7 17 of Doughty] having at least one subscriber line for connecting a first subscriber [col. 8, lines 3 11 of Doughty];

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at least one further connection for setting up a transmission channel to a second subscriber [transmission channels; col. 7, lines 52 – 64 of Doughty];

application programs for executing switching operations [switching module 42; col. 4, lines 38 – 49 of Doughty], to which signaling at the subscriber line and methods steps for call processing belong, wherein signaling data generated during signaling is used when processing a call, or call data generated during call processing is used when signaling [call processor 49 includes a call processing application that provides various call processing and signaling functions, such as call origination and termination functions, as well as location updating and handover of mobile subscribers; col. 6, lines 27 – 39 and col. 8, lines 50 – 61 of Doughty]; and

an operating system controlling the flow of the application programs [switching module 42 runs a suitable operating system such as pSOS+; col. 4, lines 38 – 49 of Doughty], wherein at least one of the signaling data and the call data are transferred to one message interface using the operating system [Under control of the operating system, the protocol converter 100 executes originating and terminating state machines that are constructed based upon definitions prepared in a protocol definition language or Message Definition Language; col. 34, lines 30 – 49 of Volftsun].

## Conclusion

17. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

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U.S. Patent No. 6111893 discloses a scalable, programmable software based application run on a programmed general-purpose digital computer is used for interconnecting a plurality of heterogeneous network nodes.

U.S. Patent No. 5815505 discloses communications devices using either analog modems, ISDN terminal adapters, or xDSL communicating via the common public telephone network using different types of telephone services.

18. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

#### **CONTACT INFORMATION**

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19. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Li B. Zhen whose telephone number is (571) 272-3768. The examiner can normally be reached on Mon - Fri, 8:30am - 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Thomson can be reached on 571-272-3718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Li B. Zhen Examiner Art Unit 2194

LBZ

WILLIAM THOMSON
WILLIAM THOMSON
PATENT EXAMINER